

STANDARD DECLARATION OF INTERESTS (DOI) FORM

Definitions:

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto, for example, SAPEA Working Groups.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual’s capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual’s spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.

If you do not describe relevant interests and your DoI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.

First name: Diana

Family name: Urge-Vorsatz

Date: September 10

Description of the Activity: [...SAPEA working group on Systemic Perspective on the Energy Transition...]

1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

	Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?	yes	
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<i>1a</i>	<i>Employment</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>1b</i>	<i>Consultancy, including services as an advisor</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>1c</i>	<i>Non-remunerated post</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>1d</i>	<i>Legal representation</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>activity</i>	<i>time period (from... until month/year)</i>	<i>name of entity or body</i>	<i>description</i>
Chair of a sustainability award funded by E-On Hungary	2018	E-On	Chairing the jury that evaluated proposals for the “Energy Globe Hungary” award
Professor, Central European University	1995	today	Professor
CEU Center for Climate Change and Sustainable Energy Policy	2007	2017	Director
Intergovernmental Panel on Climate Change	2001	Today	Coordinating Lead Author, Vice Chair
Member of the high-level stakeholder council	2017	RWE	The stakeholder council advised the CEO of RWE on sustainability related long-term corporate strategy

2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE

	Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?	yes	no
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<i>2a</i>	<i>Participation in a decision-making process</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>2b</i>	<i>Participation in the work of a Scientific Advisory Body</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
Vice Chair of Working Group III	2014 – today	Intergovernmental Panel on Climate Change	ensuring the scientific integrity of the work of the IPCC
Member of the Scientific Expert Panel	2011 – 2015	Climate and Energy Fund (KLIEN) of the Austrian Government	scientific guidance to the strategic directions of the Fund

3 RESEARCH SUPPORT

	Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?	yes	no
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<i>3a</i>	<i>Research support, including grants, rents, sponsorships, fellowships, non-monetary support</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
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Horizon 2020 science projects including “Sentinel”, “Enefirst”, “COMBI”	COMBI: 2015 – 18 Enefirst: 2019 – 22 Sentinel: 2019 – 2020	European Commission	Research projects financed by the European Commission’s Horizon2020 program
Austrian Research Agency, FFG	2019 – 2020	Austrian government	Research project for designing an energy plus historic district

4 FINANCIAL INTERESTS

Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?	yes	no
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<i>4a</i>	<i>Shares</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>4b</i>	<i>Other stock</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Investment</i>	<i>Name of legal entity</i>	<i>Description</i>

5 INTELLECTUAL PROPERTY

Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?	yes	no
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<i>5a</i>	<i>Patent, trademarks, or copyrights</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>5b</i>	<i>Others</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Intellectual property</i>	<i>Description</i>

6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i>	yes	no
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6a	For a legal entity or other body as part of a regulatory, legislative or judicial process	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6b	Represented interests or defended an opinion	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Activity	Time period (from... until month/year)	Name of legal entity or body	Description
I have been regularly providing scientific advice for different public and regulatory bodies, including the European Commission, related to energy, climate and urban policy-making.	all of the past 5 years	These include: the city of Budapest, the President of Hungary, the Hungarian National Bank, the Ministry of Environment and Innovation of Hungary, different UN organisations	mostly unpaid, but occasionally paid, scientific advice on energy related policy-making

7 INTERESTS OF IMMEDIATE FAMILY MEMBERS

yes no

7a	To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>

7b	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DoI and to provide them with the privacy statement attached to the guidance for filling in this DoI, and this at the latest when you file the DoI form with the Commission.</i>
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8 OTHER RELEVANT INFORMATION **yes** **no**

8a	Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DoI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance with the applicable data protection legislation.

I have been informed that this form may be shared with the European Commission and will be publicly available once the SAPEA report is published.

Date: __Nov 10, 2020_____

Signature:

