

STANDARD DECLARATION OF INTERESTS (DOI) FORM

Definitions:

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual’s capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual’s spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

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**Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.**

**If you do not describe relevant interests and your DOI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.**

First name: Richard

Family name: Thompson

Date: 28/08/2018

Description of the Activity: SAPEA Microplastics Working Group

	<b>Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?</b>	yes	
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**I have been employed by the University of Plymouth since 2001. Much of my work since then has been on the topic of microplastics. I have received funding to research this topic from a variety of governmental and non governmental organisations and have several active projects on this topic. I have acted as an advisor, both paid and un paid, to government and non governmental organisations. I have several ongoing projects with government and non governmental organisations including NERC, and Defra.**

<i>1a</i>	<i>Employment</i>	Research is part of my job description and I conduct research on microplastics	
<i>1b</i>	<i>Consultancy, including services as an advisor</i>	e.g GESAMP	
<i>1c</i>	<i>Non-remunerated post</i>	e.g GESAMP, Environmental Audit Committee	
<i>1d</i>	<i>Legal representation</i>	advice to policy and legislature on legal frameworks and impacts of microplastics	

<i>activity</i>	<i>time period (from ... until month/year)</i>	<i>name of entity or body</i>	<i>description</i>

## **2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE**

	<b>Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of</b>
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	<b>Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?</b>
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**Both - I have worked with legal entities on this topic but I do not have voting rights in those legal entities**

2a	<i>Participation in a decision-making process</i>	X	<input type="checkbox"/>
2b	<i>Participation in the work of a Scientific Advisory Body</i>	X	<input type="checkbox"/>

<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
Expert Scientific Advisor	2011-present	GESAMP	Provide expert scientific advice on microplastics
Expert Scientific Advisor	2010-present	UK Government and EU MSFD	Provide expert scientific advice on microplastics

### 3 RESEARCH SUPPORT

	<b>Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?</b>	yes	
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3a	<i>Research support, including grants, rents, sponsorships, fellowships, non-monetary support</i>	X	
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<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
Real Risk Nano Project-Distinguishing the realistic environmental risks of nanoplastics by investigating fate and toxicology in real-world scenarios (RealRiskNano)	2015-2019	Directed Strategic Highlights Topic scheme of the UK Natural Environment Research Council	Investigation of how toxic substances associate with microorg-agglomerates and influence where nanoplastics

			accumulate within test marine environments (mesocosms) and whether the toxic substances are released to fish.
Tackling litter in European seas project	2012-2015	Marine Litter in European Seas - Social Awareness and Co-Responsibility (MARLISCO)	Sought to raise societal awareness of both the problems and potential solutions relating to the accumulation of marine litter.
Investigating whether microplastics cause harm in the marine environment.	2011-2014	Department for Environmental Food and Rural Affairs	Improved our understanding of the direct sources and pathways of microplastics into the marine environment and their relative importance in the UK.
Microplastic plastic, the extent of the problem: a new method for separating plastic fragments from sediment.	2003-2007	NERC Science of the Environment	Method development.
Lost at sea- where does all the plastic go?	2003-2007	Leverhulme Trust	Provided a snapshot of the extent of contamination of marine habitats by microscopic plastic fragments.

#### 4 FINANCIAL INTERESTS

	<b>Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?</b>		<b>no</b>
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<i>4a</i>	<i>Shares</i>		X
<i>4b</i>	<i>Other stock</i>		X

<i>Investment</i>	<i>Name of legal entity</i>	<i>Description</i>

## 5 INTELLECTUAL PROPERTY

	<b>Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?</b>		<b>no</b>
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<i>5a</i>	<i>Patent, trademarks, or copyrights</i>		X
<i>5b</i>	<i>Others</i>		X

<i>Intellectual property</i>	<i>Description</i>

## 6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented</i>	<b>yes</b>	
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	<i>interests or defended a public statement or position in the field of Activities in question?</i>		
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<b>6a</b>	<b>For a legal entity or other body as part of a regulatory, legislative or judicial process</b>	x	
<b>6b</b>	<b>Represented interests or defended an opinion</b>		x

<b>Activity</b>	<b>Time period (from... until month/year)</b>	<b>Name of legal entity or body</b>	<b>Description</b>
Opinion contained within many published reports on Microplastics	See publication list on website link	Various publishing houses and grey literature (UN GESAMP etc.)	Abstracts freely available.

**7 INTERESTS OF IMMEDIATE FAMILY MEMBERS** **yes** **no**

<b>7a</b>	<b>To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b>		<b>X</b>
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>

<b>7b</b>	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DOI and to provide them with the privacy statement attached to the guidance for filling in this DOI, and this at the latest when you file the DOI form with the Commission.</i>
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**8 OTHER RELEVANT INFORMATION** **yes** **no**

<b>8a</b>	<b>Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b>		X
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DOI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance the applicable data protection legislation.

Date: 28/8/18

Signature: \_\_\_\_\_

