

STANDARD DECLARATION OF INTERESTS (DOI) FORM

Definitions:

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto, for example, SAPEA Working Groups.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual's capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual's spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.

If you do not describe relevant interests and your DoI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.

First name: Ottmar

Family name: Edenhofer

Date: 6 July 2020

Description of the Activity: SAPEA Project on the Systemic Approach For the Energy Transition in Europe (SAFETE)

1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

	Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?	Yes	
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<i>1a</i>	<i>Employment</i>	X	<input type="checkbox"/>
<i>1b</i>	<i>Consultancy, including services as an advisor</i>	X	<input type="checkbox"/>
<i>1c</i>	<i>Non-remunerated post</i>	<input type="checkbox"/>	X
<i>1d</i>	<i>Legal representation</i>	<input type="checkbox"/>	X

<i>activity</i>	<i>time period (from... until month/year)</i>	<i>name of entity or body</i>	<i>description</i>
Director	since 2018	Potsdam Institute for Climate Impact Research	
Director	since 2012	Mercator Research Institute on Global Commons and Climate Change	
Professor	since 2008	TU Berlin	Economy of Climate Change
Volkswagen Sustainability Council	08/16-ongoing	Volkswagen Group	Member of sustainability council: Advice on sustainability strategy of the Volkswagen Group and critical review of measures taken/strategies implemented
Co-Chair of the Steering Committee for the “Climate Protection Science Platform” of the German Federal Government	2019-ongoing	Climate Protection Science Platform, German Federal Government	Monitoring the implementation of the new climate protection package

Member of the High-Level Commission on Carbon Prices founded at the invitation of Ségolène Royal and Feike Sijbesma, Co-Chairs of the Carbon Pricing Leadership Coalition (CPLC) High Level Assembly, chaired by Joseph Stiglitz, Nobel Laureate in Economics, and Lord Nicholas Stern	2017	CPLC	Member of the commission evaluating the effects of a carbon pricing system
Member of the Advisory Board of the Green Growth Knowledge Platform (project of the Global Green Growth Institute, the OECD, United Nations Environment Programme and the World Bank)	Until 2015	Green Growth Institute, OECD, World Bank, UN	Advising the cited institutions on Green Growth
Member of the Advisory Committee to Princeton's Carbon Mitigation Initiative CMI	2017-ongoing	University of Princeton	Advisor
Member of the National Expert Advisory Council on Climate Change for Ireland set up in the framework of the "Climate Action and Low-Carbon Development Bill 2015"	2015-ongo	EPA	Advising the committee in setting up a climate protection strategy for Ireland

2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE

<p>Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?</p> <p>of</p>	Yes	
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2a	<i>Participation in a decision-making process</i>		<input type="checkbox"/>	<input type="checkbox"/>
2b	<i>Participation in the work of a Scientific Advisory Body</i>		<input type="checkbox"/>	<input type="checkbox"/>
<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>	
Member of the Scientific Advisory Board	since 2019	European Institute on Economics and the Environment (EIEE)		
Member of the Scientific Advisory Council	since 2019	ZEW – Leibniz Zentrum für Europäische Wirtschaftsforschung		
Member of the Scientific Advisory Council	since 2019	LSRI – Laudato Sí Research Institute		
Co-editor	since 2016	REEP Review of Environmental Economics and Policy		
Member of the Editorial Board	since 2011	Economics of Energy & Environmental Policy (EEEP)		

3 RESEARCH SUPPORT

	Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?	Yes	
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3a	<i>Research support, including grants, rents, sponsorships, fellowships, non-monetary support</i>	Yes	
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Within the last 5 years approx. 60% of funding was received from private funds / industry.

<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
Managing Director of MCC	2012 – 2024	Stiftung Mercator	Responsible for institutional funding totaling approx. EUR

			28,4 million over a two-stage project period of 12 years. Under Edenhofer's leadership, additional third-party funds totaling approx. EUR 2.3 million (up to and including 2019) were raised.
Project	2020 – 2023	German Federal Ministry of Education and Research	Kopernikus project “Ariadne - Evidence-Based Assessment for the Design of the German Energy System Transformation”
Project	2018 – 2020	Volkswagen	Joint research project on mobility “Next Generation Policy Project”
Project	2016 – 2019	German Federal Ministry of Education and Research	Kopernikus project “ENavi – System Integration and Networks for the Energy Supply (Energy Transition Navigation System)”
Project	2015 – 2019	European Commission, H2020	Project “Linking Climate and Development Policies - Leveraging International Networks and Knowledge Sharing (CD-Links)”

Project	2016 – 2019	Leibniz Association	Project “Economic Growth Impacts of Climate Change (ENGAGE)”
Co-Chair of Research Domain 3 Sustainable Solutions at PIK	2007 – 2018		Under Edenhofer’s leadership, the Research Domain Sustainable Solutions acquired third- party funding totaling approx. EUR 22.6 million.
Project	2014 – 2017	DFG – Deutsche Forschungsgemeinschaft	Project “Contextualizing Climate Engineering and Mitigation: Complement, Substitute or Illusion? (CEMICS)”
Project	2013 – 2016	EU	Project “Advanced Model Development and Validation for the Improved Analysis of Costs and Impacts of Mitigation Policies (ADVANCE)”
Project	2013 – 2016	BMBF	Project “Institutionally and technologically consistent energy strategies for a decentral or centrally organized energy

			transition in Germany (De.zentral)”
Project	2012 – 2015	EU	Project “Economic iNsTRuments to Achieve Climate Targets in Europe (ENTRACTE)”

4 FINANCIAL INTERESTS

	Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?		No
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<i>4a</i>	<i>Shares</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>4b</i>	<i>Other stock</i>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Investment</i>	<i>Name of legal entity</i>	<i>Description</i>

5 INTELLECTUAL PROPERTY

	Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?		No
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<i>5a</i>	<i>Patent, trademarks, or copyrights</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>5b</i>	<i>Others</i>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Intellectual property</i>	<i>Description</i>

6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i>		No
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6a	For a legal entity or other body as part of a regulatory, legislative or judicial process	<input type="checkbox"/>	<input type="checkbox"/>
6b	Represented interests or defended an opinion	<input type="checkbox"/>	<input type="checkbox"/>

Activity	Time period (from... until month/year)	Name of legal entity or body	Description

7 INTERESTS OF IMMEDIATE FAMILY MEMBERS

yes no

7a	To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?		No
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>

7b	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DoI and to provide them with the privacy statement attached to the guidance for filling in this DoI, and this at the latest when you file the DoI form with the Commission.</i>
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8 OTHER RELEVANT INFORMATION

yes

no

8a	Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?		X
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DoI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance with the applicable data protection legislation.

I have been informed that this form may be shared with the European Commission and will be publicly available once the SAPEA report is published.

Date: 2 November 2020

Signature:

