

STANDARD DECLARATION OF INTERESTS (DoI) FORM

Definitions:

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto, for example, SAPEA Working Groups.

“**Conflict of interest**” means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual’s capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

“**Immediate family member**” means the individual’s spouse, children and parents. “Spouse” includes a partner with whom the individual has a registered non marital regime. “Children” means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

“**Legal entity**” means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

“**Body**” means a governmental, international or non-profit organisation.

“**Meeting**” includes a series or cycle of meetings.

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**Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.**

**If you do not describe relevant interests and your DoI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.**

First name: Frank

Family name: CARRE

Date: July 16, 2020

- Description of the Activity: *“SAPEA Project on the Systemic Approach For the Energy Transition in Europe (SAFETE)”*

## 1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

	<b>Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?</b>	<b>yes</b>	<b>no</b>
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<i>1a</i>	<i>Employment: 2009-2019 – Scientific Director for Nuclear Energy 2020-present – Scientific Director for Energy</i>	X	<input type="checkbox"/>
<i>1b</i>	<i>Consultancy, including services as an advisor</i>	X	<input type="checkbox"/>
<i>1c</i>	<i>Non-remunerated post</i>	X	<input type="checkbox"/>
<i>1d</i>	<i>Legal representation</i>	<input type="checkbox"/>	X

<i>activity</i>	<i>time period (from... until month/year)</i>	<i>name of entity or body</i>	<i>description</i>
1a – Holder of a Lecturing and Research Chair on “Sustainable energies”	Sept-2008 → Nov-2018	Ecole Polytechnique	Lecturing on nuclear and low-carbon energy research
1a’ – Lectures on nuclear reserach, low-carbon energies and research startegies	2014 → Present	Ecole Nationale des Ponts et Chaussée Université Lyon-1	Lectures on future nuclear energy systems
1b – Scientific Councillor of France’s High-commissioner for Atomic Energy	Nov-2012 → Nov-2018	High-commissioner for Atomic Energy	Advising on nuclear and CEA research (non remunerated)
1b’ – Member of the IAEA Standing Advisory Group on Nuclear Energy	June-2009 → Present	International Atomic Energy Agency	Advising on IAEA nuclear energy related activities (non remunerated)
1b’’ – Member of CEA’s Scientific Advisory Committee	2007 → 2020	French Commis-sion for Atomic and Alternative Energies	Advising on CEA civil research activities (non remunerated)
1b’’’ – Member of the International Scientific Advisory Committee of the Paul Scherrer Institut	2005 → 2020	Paul Scherrer Institut	Advising on PSI energy research (non remunerated)
1b’’’’- Member of the International Advisory Committee of TIT Global Human Resource Dvpt for Nuclear Safety & Security Educational Program	2012 → 2017	Tokyo Institute of Technology	Advising on TIT post-Fukushima crisis management educational program (non remunerated)

**2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE**

	<b>Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?</b>	yes	no
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2a	Participation in a decision-making process	<input type="checkbox"/>	X
2b	Participation in the work of a Scientific Advisory Body	<input type="checkbox"/>	X
<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
Member of Advisory Committees with no voting rights (see 1b above)			

**3 RESEARCH SUPPORT**

	<b>Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?</b>	yes	no
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3a	Research support, including grants, rents, sponsorships, fellowships, non-monetary support	<input type="checkbox"/>	X
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<i>Activity</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
No support received from a legal entity with an interest in the field of Activities in question			

#### 4 FINANCIAL INTERESTS

	<b>Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?</b>	<b>yes</b>	<b>no</b>
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<i>4a</i>	<i>Shares</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>4b</i>	<i>Other stock</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Investment</i>	<i>Name of legal entity</i>	<i>Description</i>
No investment in a legal entity with an interest in the field of Activities in question		

#### 5 INTELLECTUAL PROPERTY

	<b>Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?</b>	<b>yes</b>	<b>no</b>
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<i>5a</i>	<i>Patent, trademarks, or copyrights</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>5b</i>	<i>Others</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<i>Intellectual property</i>	<i>Description</i>
No intellectual property rights	

#### 6 PUBLIC STATEMENTS AND POSITIONS

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i>	<b>yes</b>	<b>no</b>
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<b>6a</b>	<b>For a legal entity or other body as part of a regulatory, legislative or judicial process</b>	<input type="checkbox"/>	<b>X</b>
<b>6b</b>	<b>Represented interests or defended an opinion</b>	<input type="checkbox"/>	<b>X</b>

<b>Activity</b>	<b>Time period (from... until month/year)</b>	<b>Name of legal entity or body</b>	<b>Description</b>
No participation in a regulatory, legislative or judicial process			

**7 INTERESTS OF IMMEDIATE FAMILY MEMBERS** yes      no

<b>7a</b>	<b>To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b>	<input type="checkbox"/>	<b>X</b>
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
<p>My wife is a retired researcher in life sciences + human resource staff</p> <p>My daughter is a dental surgeon</p> <p>My son is a pre-sales manager in a software company</p>			

<b>7b</b>	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DoI and to provide them with the privacy statement attached to the guidance for filling in this DoI, and this at the latest when you file the DoI form with the Commission.</i>
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8 OTHER RELEVANT INFORMATION

yes no

8a	Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	X
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DoI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance with the applicable data protection legislation.

I have been informed that this form may be shared with the European Commission and will be publicly available once the SAPEA report is published.



Date: July 16, 2020

Signature: Frank CARRE