

STANDARD DECLARATION OF INTERESTS (DOI) FORM

Definitions:

"**Activity**" or "**Activities**" (capitalised 'A') means an activity or activities of or under the the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual's capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual's spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

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Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.

If you do not describe relevant interests and your DOI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.

First name: Thomas
Family name: Backhaus
Date: 27 <sup>th</sup> August 2018
Description of the Activity: Participation in 'SAPEA Microplastics Working Group'

### 1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?	yes	
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<i>Ia</i>	<i>Employment</i>	X	<input type="checkbox"/>
<i>Ib</i>	<i>Consultancy, including services as an advisor</i>	X	<input type="checkbox"/>
<i>Ic</i>	<i>Non-remunerated post</i>	X	<input type="checkbox"/>
<i>Id</i>	<i>Legal representation</i>	<input type="checkbox"/>	<input type="checkbox"/>

<i>activity</i>	<i>time period (from... until month/year)</i>	<i>name of entity or body</i>	<i>description</i>
<b>Support of UN Environment's Global Chemical Outlook</b>	<b>7.2017-10.2018</b>	<b>UN Environment</b>	<b>Responsible author for the background document 'Chemical Risk Assessment' for the next 'Global Chemical Outlook'.</b>
<b>Professor of Exotoxicology</b>	<b>2011- Present</b>	<b>University of Gothenburg, Sweden</b>	<b>Department of Biological and Environmental Sciences.</b>
<b>Director</b>	<b>2016-Present</b>	<b>Center for Future Risk Assessment and Management- University of Gothenburg,</b>	<b>Multi-disciplinary academic centre focusing on chemical mixtures.</b>

2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE

	<u>yes</u>	<del>no</del>
Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?		

2a	Participation in a decision-making process	X	<input type="checkbox"/>
2b	Participation in the work of a Scientific Advisory Body	<input type="checkbox"/>	<input type="checkbox"/>

Activity	Time period (from... until month/year)	Name of legal entity or body	Description
<b>Member of the Board</b>	<b>March 2017 - now</b>	<b>International Panel on Chemical Pollution (IPCP)</b>	<p>I am member of the board of the IPCP (<a href="https://www.ipcp.ch">https://www.ipcp.ch</a>), overseeing that the organization works along its legal statutes. The IPCP works generally on the topic of chemicals in the environment. So far, the IPCP has been working on ordinary chemicals (e.g. endocrine disrupters, perfluorinated chemicals), not on microplastics.</p> <p>I do not receive any personal benefits from this position, neither financially nor otherwise.</p>
<b>Member of the Board</b>	<b>Jan 2013 - now</b>	<b>Food Packaging Forum (FPF)</b>	<p>I am member of the board of the FPF (<a href="https://www.foodpackagingforum.org/">https://www.foodpackagingforum.org/</a>), overseeing that the organization works along its legal statutes. The FPF organizes and disseminates knowledge in the area of food packaging materials (toxicology and ecotoxicology, amongst others) and runs a regular new service and workshops in which various plastic packaging materials are discussed. No specific work on microplastics has been performed by the FPF.</p> <p>I do not receive any personal benefits from this position, neither financially nor otherwise.</p>

### 3 RESEARCH SUPPORT

	Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?	<b>yes</b>	<b>no</b>
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3a	Research support, including grants, rents, sponsorships, fellowships, non-monetary support	<input type="checkbox"/>	<input type="checkbox"/>
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Activity	Time period (from... until month/year)	Name of legal entity or body	Description
Various R&D projects	1.2004 – 9.2018	Various	As a University Professor working for environmental sciences, my group and I have received various research grants in the area of chemical risk assessment, but none related to the topic of “microplastics”

### 4 FINANCIAL INTERESTS

	Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity or entitling you to a voting right of 5% or more in such legal entity?	<b>yes</b>	<b>no</b>
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4a	Shares	<input type="checkbox"/>	<input type="checkbox"/>
4b	Other stock	<input type="checkbox"/>	<input type="checkbox"/>

Investment	Name of legal entity	Description
Minor Partner	Faust & Backhaus, Environmental Consulting	I am minor partner (since 2002) in a micro-enterprise located in the Bremen (Germany) that provides consultancy services to public authorities in the area of chemical risk assessment. We have not performed any work on the topic of “microplastics”.

**5 INTELLECTUAL PROPERTY**

	Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?	<b>yes</b>	<b><u>no</u></b>
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<i>5a</i>	<i>Patent, trademarks, or copyrights</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>5b</i>	<i>Others</i>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Intellectual property</i>	<i>Description</i>
None	

**6 PUBLIC STATEMENTS AND POSITIONS**

	<i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i>	<b>yes</b>	<b>no</b>
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<b>6a</b>	<b>For a legal entity or other body as part of a regulatory, legislative or judicial process</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>6b</b>	<b>Represented interests or defended an opinion</b>	<input type="checkbox"/>	<input type="checkbox"/>

<b>Activity</b>	<b>Time period (from... until month/year)</b>	<b>Name of legal entity or body</b>	<b>Description</b>
None			

**7 INTERESTS OF IMMEDIATE FAMILY MEMBERS** **yes** **no**

<b>7a</b>	<b>To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b>		<b>NO</b>
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<i>Interests</i>	<i>Time period (from... until month/year)</i>	<i>Name of legal entity or body</i>	<i>Description</i>
None	-	-	-

<b>7b</b>	<i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DOI and to provide them with the privacy statement attached to the guidance for filling in this DOI, and this at the latest when you file the DOI form with the Commission.</i>
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8 OTHER RELEVANT INFORMATION

yes no

8a	Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?	<input type="checkbox"/>	NO
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Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent Commission department and complete a new DOI form describing the changes in question.

I am informed that my personal data are stored, processed and published by the Commission in accordance with Regulation (EC) N° 45/2001<sup>1</sup>.

Date: 27 August 2018 \_\_\_\_\_

Signature: Thomas Backhaus

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This DOI form can be referenced and be made publicly available on the website of the Scientific Advice Mechanism <http://ec.europa.eu/research/sam/index.cfm>, for the duration of the expert's involvement in Activities or be produced in the context of a litigation involving the expert's independence.

<sup>1</sup> <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2001:008:0001:0022:en:PDF>