

STANDARD DECLARATION OF INTERESTS (DOI) FORM

**Definitions:**

“**Activity**” or “**Activities**” (capitalised ‘A’) means an activity or activities of or under the the Group of Chief Scientific Advisors for instance in its formation as Coordination Group or in sub-groups, or that or those in relation thereto.

"**Conflict of interest**" means any situation where an individual has an interest that may compromise or be reasonably perceived to compromise the individual’s capacity to act independently and in the public interest when providing advice to the Commission in relation to Activities performed.

"**Immediate family member**" means the individual’s spouse, children and parents. "Spouse" includes a partner with whom the individual has a registered non marital regime. "Children" means the child(ren) the individual and the spouse have in common, the own child(ren) of the individual and the own child(ren) of the spouse.

"**Legal entity**" means any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

"**Body**" means a governmental, international or non-profit organisation.

"**Meeting**" includes a series or cycle of meetings.

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**Please answer each of the questions below. If the answer to any of the questions is "yes", please briefly describe relevant interests and circumstances, as appropriate.**

**If you do not describe relevant interests and your DOI form is considered to be incomplete, or if declared interests are considered to constitute a Conflict of Interest, you may be excluded from all or part of the Activities.**

First name: Susan

Family name: OWENS

Date: 8 October 2018

Description of the Activity: SAPEA Working Group, ‘Making Sense of Science’

## 1 EMPLOYMENT CONSULTANCY AND LEGAL REPRESENTATION

|  |   |            |           |
|--|---|------------|-----------|
|  | <b>Within the past 5 years, were you employed or have you had any other professional relationship with a natural or legal entity, or held any non-remunerated post in a legal entity or other body with an interest in the field of the Activity in question?</b> | <b>yes</b> | <b>no</b> |
|--|---|------------|-----------|

|           |  |                                     |                                     |
|-----------|--|-------------------------------------|-------------------------------------|
| <i>1a</i> | <i>Employment</i>                                    | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| <i>1b</i> | <i>Consultancy, including services as an advisor</i> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| <i>1c</i> | <i>Non-remunerated post</i>                          | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| <i>1d</i> | <i>Legal representation</i>                          | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |

| <i>Activity</i>                                  | <i>time period<br/>(from... until<br/>month/year)</i> | <i>name of entity or body</i>                                | <i>description</i>   |
|--|---|--|--|
| Employment (full time)                           | 1981–2016   | University of Cambridge, UK                                  | Professor (now Emeritus)   |
| Employment                                       | 2015–present  | Stockholm Environment Institute (SEI)                        | Chair of Science Advisory Group (SEI-SAC)  |
| Advisory Committees, remunerated (advisory only) | 2013–present  | UK Department of Environment, Food and Rural Affairs (Defra) | Hazardous Substances Advisory Committee since 2013; Social Science Expert Group since 2015 |
| Advisory group (advisory only), non-remunerated  | 2016  | UK Government  | Review of Flood Resilience, Science Advisory Group   |

## 2 MEMBERSHIP OF MANAGING BODY, SCIENTIFIC ADVISORY BODY OR EQUIVALENT STRUCTURE

|  |   |            |           |
|--|---|------------|-----------|
|  | <b>Within the past 5 years, have you participated in the internal decision-making of a legal entity or other body with an interest in the field of Activities in question or have you participated in the works of a Scientific Advisory Body with voting rights on the outputs of that entity?</b> | <b>yes</b> | <b>no</b> |
|--|---|------------|-----------|

|           |   |                          |                          |
|-----------|---|--------------------------|--------------------------|
| <i>2a</i> | <i>Participation in a decision-making process</i> | <input type="checkbox"/> | <input type="checkbox"/> |
|-----------|---|--------------------------|--------------------------|

|    |  |                                     |                          |
|----|--|-------------------------------------|--------------------------|
| 2b | <i>Participation in the work of a Scientific Advisory Body</i> | <input type="checkbox"/>            | <input type="checkbox"/> |
|    |  | <i>only as listed under 1 above</i> |                          |

| <i>Activity</i>  | <i>Time period (from... until month/year)</i> | <i>Name of legal entity or body</i> | <i>Description</i> |
|--|---|-------------------------------------|--------------------|
| I serve on or chair (or have served on) several science advisory bodies, but as all have been advisory only, I have listed them under 1 above. |   |                                     |                    |

### 3 RESEARCH SUPPORT

|  |   |                                    |                                   |
|--|---|------------------------------------|-----------------------------------|
|  | <b>Within the past 5 years, have you, or the research entity (institute or department) to which you belong, received any support from a legal entity or other body with an interest in the field of Activities in question?</b> | <b>yes</b><br><br><i>see below</i> | <b>no</b><br><br><i>see below</i> |
|--|---|------------------------------------|-----------------------------------|

|    |   |                          |                          |
|----|---|--------------------------|--------------------------|
| 3a | <i>Research support, including grants, rents, sponsorships, fellowships, non-monetary support</i> | <input type="checkbox"/> | <input type="checkbox"/> |
|----|---|--------------------------|--------------------------|

| <i>Activity</i>  | <i>Time period (from... until month/year)</i> | <i>Name of legal entity or body</i> | <i>Description</i> |
|--|---|-------------------------------------|--------------------|
| None personally.<br><br>I can only answer for myself. I do not have information relating to my Department in Cambridge more generally. |   |                                     |                    |

### 4 FINANCIAL INTERESTS

|  |  |  |            |
|--|--|--|------------|
|  | <b>Do you have current investments in a legal entity with an interest in the field of Activities in question, including holding of stocks and shares, and which amounts to more than 10,000 EUR per legal entity</b> |  | <b>noX</b> |
|--|--|--|------------|

|  |   |  |  |
|--|---|--|--|
|  | <b>or entitling you to a voting right of 5% or more in such legal entity?</b> |  |  |
|--|---|--|--|

|    |             |                          |                          |
|----|-------------|--------------------------|--------------------------|
| 4a | Shares      | <input type="checkbox"/> | <input type="checkbox"/> |
| 4b | Other stock | <input type="checkbox"/> | <input type="checkbox"/> |

| Investment | Name of legal entity | Description |
|------------|----------------------|-------------|
|            |                      |             |

**5 INTELLECTUAL PROPERTY**

|  |  |     |                         |
|--|--|-----|-------------------------|
|  | <b>Do you have any intellectual property rights that might be affected by the outcome of the Activities in question?</b> | yes | noX<br><i>see below</i> |
|--|--|-----|-------------------------|

|    |   |                          |                          |
|----|---|--------------------------|--------------------------|
| 5a | <i>Patent, trademarks, or copyrights</i><br><br>I hold the copyright to a number of academic publications, including my most recent book, <i>Knowledge, Policy and Expertise</i> (OUP 2015). However, I do not consider that this could be affected by the outcome of the activities of the SAPEA Working Group on which I currently sit. | <input type="checkbox"/> | <input type="checkbox"/> |
| 5b | <i>Others</i>   | <input type="checkbox"/> | <input type="checkbox"/> |

| Intellectual property | Description |
|-----------------------|-------------|
|                       |             |

**6 PUBLIC STATEMENTS AND POSITIONS**

|  |  |            |                                   |
|--|--|------------|-----------------------------------|
|  | <i>Within the past 5 years, have you provided any expert opinion or testimony in the field of Activities in question, for a legal entity or other body as part of a regulatory, legislative or judicial process? Within the past 5 years have you held an office or other position, paid or unpaid, where you represented interests or defended a public statement or position in the field of Activities in question?</i> | <b>yes</b> | <b>no</b><br><br><i>see below</i> |
|--|--|------------|-----------------------------------|

|           |  |                          |                          |
|-----------|--|--------------------------|--------------------------|
| <b>6a</b> | <b>For a legal entity or other body as part of a regulatory, legislative or judicial process</b> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>6b</b> | <b>Represented interests or defended an opinion</b>  | <input type="checkbox"/> | <input type="checkbox"/> |

| Activity   | Time period<br>(from... until<br>month/year) | Name of legal entity<br>or body | Description |
|--|--|---------------------------------|-------------|
| Only those activities already mentioned in 1 above |  |                                 |             |

**7 INTERESTS OF IMMEDIATE FAMILY MEMBERS** **yes**      **no**

|           |   |                          |  |
|-----------|---|--------------------------|--|
| <b>7a</b> | <b>To your knowledge, are there any interests of your immediate family members which could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b> | <input type="checkbox"/> | <input checked="" type="checkbox"/> <b>X</b> |
|-----------|---|--------------------------|--|

| Interests | Time period<br>(from... until<br>month/year) | Name of legal entity or<br>body | Description |
|-----------|--|---------------------------------|-------------|
|           |  |                                 |             |

|           |  |
|-----------|--|
| <b>7b</b> | <i>If interests of your immediate family members are declared, it is your responsibility to inform them about the collection and publication of information on their interests included in the DOI and to provide them with the privacy statement attached to the guidance for filling in this DOI, and this at the latest when you file the DOI form with the Commission.</i> |
|-----------|--|

**8 OTHER RELEVANT INFORMATION**

**yes**

**no**

|           |   |                          |                                       |
|-----------|---|--------------------------|---------------------------------------|
| <b>8a</b> | <b>Are there any other elements that could be seen as undermining your independence when providing advice to the Commission in the field of the Activities in question?</b> | <input type="checkbox"/> | <input checked="" type="checkbox"/> X |
|-----------|---|--------------------------|---------------------------------------|

Description:

I hereby declare on my honour that I have read the guidance for completing this form. I also declare on my honour that the information disclosed in this form is true and complete to the best of my knowledge.

Should there be any change to the above information, including as regards upcoming activities, I will promptly notify the competent department or entity and complete a new DOI form describing the changes in question.

I am informed that my personal data are stored, processed and published in accordance the applicable data protection legislation.

Date: 8 October 2018

Signature: Signed